

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): JEROME ROLIA

Serial No.:

Examiner:

Filing Date: 11/13/01

Group Art Unit:

Title: METHOD AND SYSTEM FOR EXPLOITING SERVICE LEVEL OBJECTIVES TO ENABLE  
RESOURCE SHARING IN A COMMUNICATION NETWORK HAVING A PLURALITY OF  
APPLICATION ENVIRONMENTS

JC997 U.S. PTO  
09/991339  
11/13/01

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before  
mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
  - ☐ Statement under 37 CFR 1.97(e), or
  - ☐ a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
  - ☐ Statement under 37 CFR 1.97(e), and
  - ☐ a petition under 37 CFR 1.97(d)(2), and
  - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the  
pendency of this application, please charge any fees required or credit any overpayment to Deposit  
Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with  
copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s)  
believe(s) may be material to the examination of this application and for which there may be a duty to  
disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications  
and other foreign language information listed on PTO Form 1449, as presently understood by the  
individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the  
attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign  
patent office in a counterpart foreign application, an English language version of the search report or  
action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and  
is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being  
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Date of Deposit: 11/13/01

Typed Name: Anthony Chou

Signature: *Anthony Chou*

Respectfully submitted,

JEROME ROLIA

By

Lin C. Hsu

Attorney/Agent for Applicant(s)

Reg. No. 46,315

Date: 11/13/01

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10013576

SERIAL NO.

APPLICANT

Jerome Rolia

FILING DATE

11/13/01

GROUP

Jc997 U.S. PTO  
09/991339

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	6,003,079	12/14/99	Friedrich, et al.	709	224
	1B					
	1C					
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED